Weakened untuned gain control is associated with schizophrenia while atypical orientation-tuned suppression depends on visual acuity

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> **were moderated by visual acuity. A simulation in which visual acuity and/or focal attention interact with untuned gain control reproduces the observed pattern of results, including the lack of group differences when orientation of center and surround are the same. Our findings further elucidate perceptual mechanisms of impaired center-surround processing in psychosis and provide insights into the effects of visual acuity on orientation-dependent suppression in PSZ.**

Introduction

Perceptual distortions are a primary symptom of psychosis. In 2005, Dakin, Carlin, and Hemsley [\(2005\) reported a striking reduction of contrast](#page-12-0) surround suppression in patients with schizophrenia (PSZ), which has been borne out in several other [reports \(](#page-13-0)[Chen, Norton, & Ongur, 2008](#page-12-0)[;](#page-13-0) Schallmo, Sponheim, & Olman, 2015; Serrano-Pedraza, [Romero-Ferreiro, & Read, 2014;](#page-14-0) Seymour, Stein, [Sanders, Gugenmos, Theophil, & Sterzer, 2013;](#page-14-0) Tadin, [Kim, Doop, Gibson, Lappin, Blake, & Park, 2006;](#page-14-0)

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Perceptual distortions are core features of psychosis. Weakened contrast surround suppression has been proposed as a neural mechanism underlying atypical perceptual experiences. Although previous work has measured suppression by asking participants to report the perceived contrast of a low-contrast target surrounded by a high-contrast surround, it is possible to modulate perceived contrast solely by manipulating the orientation of a matched-contrast center and surround. Removing the bottom-up segmentation cue of contrast difference and isolating orientation-dependent suppression may clarify the neural processes responsible for atypical surround suppression in psychosis. We examined surround suppression across a spectrum of psychotic psychopathology including people with schizophrenia (PSZ; *^N* **⁼ 31) and people with bipolar disorder (PBD;** *^N* **⁼ 29), first-degree biological relatives of these patient groups (PBDrel, PSZrel;** *^N* **⁼ 28,** *^N* **⁼ 21, respectively), and healthy controls (***^N* **⁼ 29). PSZ exhibited reduced surround suppression across orientations; although group differences were minimal at the condition that produced the strongest suppression. PBD and PSZrel exhibited intermediate suppression, whereas PBDrel performed most similarly to controls. Intriguingly, group differences in orientation-dependent surround suppression magnitude**

[Tibber, Anderson, Bobin, Antonova, Seabright,](#page-14-0) Wright, Carlin, Shergill, & Dakin, 2013; Yoon, Maddock, Rokem, Silver, Minzenberg, Ragland, $\&$ Carter, 2010). The magnitude of the reduction appears to fluctuate with symptom severity (Yang, [Tadin, Glasser, Hong, Blake, & Park, 2013\), with m](#page-14-0)ore recent studies in out-patient populations estimating smaller effect sizes than reported for the in-patient sample of the 2005 study. Still, the task is valuable because it quantifies the function of well-understood neural mechanisms in primary visual cortex within patient populations. In addition, because perceptions reported during the task by PSZ more closely match the physical reality of stimuli, concerns about generalized cognitive deficits impairing performance are diminished.

Several neural mechanisms – occurring both inside (i.e. intrinsic) and outside (i.e. extrinsic) of primary visual cortex (V1) work together to determine perceived contrast, which can generally be predicted from firing [rates of neurons in V1 \(Boynton, Demb, Glover, &](#page-12-0) Heeger, 1999). One such mechanism is V1-intrinsic untuned gain control, which provides orientationinsensitive suppression that is stronger for more intense (i.e. higher contrast) stimuli [\(Carandini & Heeger, 2011;](#page-12-0) [Mély, Linsley, & Serre, 2018\)](#page-13-0). Untuned gain control is thought to be weaker in PSZ (Butler, Silverstein, [& Dakin, 2008\). In addition to untuned gain contro](#page-12-0)l, orientation-dependent mechanisms suppress surrounds that are parallel (or near parallel) to the center whereas surrounds orthogonal (or near orthogonal) to the [center produce little to no suppression \(Cavanaugh,](#page-12-0) Bair, & Movshon, 2002; [Mély, Linsley, & Serre, 2018;](#page-13-0) Shushruth, Nurminen, Bijanzadech, Ichida, Vanni, [& Angelucci, 2013\). There is some evidence that the](#page-14-0) efficacy of these mechanisms differs for PSZ (Rokem, [Yoon, Ooms, Maddock, Minzenberg, & Silver, 2011\),](#page-13-0) [although also see other reports \(Schallmo, Sponheim, &](#page-13-0) Olman, 2015).

Feedback from higher areas in the visual cortex is also known to alter firing rates of neurons in primary visual cortex [\(Shushruth et al., 2013;](#page-14-0) Sillito & Jones, [2002\). In particular, electrocorticography measuremen](#page-14-0)ts in human V2 and V3 [\(Self, Peters, & Possel, 2016\)](#page-13-0) and primate electrophysiology measurements in V1 [\(Lamme, Rodriguez-Rodriguez, & Spekreijse, 1999\)](#page-12-0) provide evidence that V1-extrinsic segmentation cues (i.e. object boundaries) modulate V1-intrinsic suppression mechanisms. For example, suppression for parallel surrounds occurs within 50 ms of stimulus onset [\(Bijanzadeh, Nurminen, Merlin, Clark, & Angelucci,](#page-12-0) 2018); however, when a boundary is present, neural responses occurring more than 100 ms after stimulus onset have the same amplitude for both parallel and orthogonal surrounds [\(Self, Peters, & Possel, 2016\)](#page-13-0). This suggests a later abolishment of earlier suppression induced by the parallel surround when a boundary is

present. Such an effect is thought to be mediated via feedback, possibly from border-ownership processes [in V2 \(](#page-12-0)[Zhaoping, 2005](#page-14-0)[\) or V4 \(Franken & Reynolds,](#page-12-0) 2021) and may be subject to regulation by attention or awareness. V1-extrinsic mechanisms are likely important to consider in the context of psychosis due to well-documented attentional deficits and evidence of altered top-down regulation of low-level inputs [\(Gold, Fuller, Robinson, Braun, & Luck, 2007;](#page-12-0) Gold, [Robinson, & Leonard, 2018;](#page-13-0) Mathalon, Heinks, & Ford, 2004; [Pokorny & Sponheim, 2021;](#page-13-0) Pokorny, [Espensen-Sturges, Burton, Sponheim, & Olman, 2020;](#page-13-0) [Poltoratski, Ling, McCormack, & Tong, 2017\)](#page-13-0).

The present study implemented a novel contrastmatched surround suppression paradigm that manipulated relative center-surround orientation (0 degrees, 20 degrees, 45 degrees, 70 degrees, or 90 degrees) and distance (near versus far surround conditions with inner radius at 1 degree and 2.5 degrees, respectively, around a central target with a radius of 0.75 degrees). The goal was to collect the behavioral data necessary to determine whether perceptual contrast surround suppression deficits associated with schizophrenia might be attributed solely to orientation-insensitive, V1-intrinsic suppression mechanisms (untuned gain control) or whether orientation-dependent mechanisms are also altered. In the event that we observed alterations of orientation-dependent suppression, we included a far-surround condition to enable further discrimination between V1-intrinsic and V1-extrinsic mechanisms. The surround receptive field can be disaggregated into two regions (termed near and far surround) that are mediated by different neural mechanisms. The far surround is mediated by feedback connections extrinsic to V1, whereas the near-surround is mediated by a combination of both feedback connections and V1-intrinsic horizontal connections [\(Bair, Cavanaugh, & Movshon, 2003;](#page-12-0) Shushruth [et al., 2013\). Thus, by assessing contrast surround](#page-14-0) suppression for both near and far surrounds, we aimed to further distinguish the possible neural mechanisms by which PSZ experience weakened surround suppression.

Typically, contrast surround suppression is measured with a low-contrast target embedded in a high-contrast surround, but, in the present study, the luminance contrasts of the center and surround gratings were matched. Although this choice reduces [the expected magnitude of the behavioral effect \(Xing](#page-14-0) & Heeger, 2001), it also controls for the bottom-up contrast-difference cues that help draw spatial attention and thus is useful for clarifying whether deficits in PSZ are driven by altered attentional or low-level visual processes [\(Zenger-Landolt & Koch, 2001\)](#page-14-0).

It is unclear whether atypical surround suppression is present in other psychotic disorders, such as bipolar disorder [\(Dakin, Carlin, & Hemsley, 2005;](#page-12-0) [Schallmo,](#page-13-0)

Methods

psychopathology.

Patients were recruited from Minneapolis Veterans Affairs Health Care System (MVAHCS) outpatient clinics, community support programs for the mentally ill, and county mental health clinics. First degree relatives of PSZ and PBD were identified by research staff using a pedigree form completed through interviews with patients and were invited by mail and telephone to participate in the study. Healthy controls (HCs) were recruited via posted announcements at fitness centers, community libraries, the MVAHCS, and newsletters for veterans. Potential PSZ, PBD, and HC were excluded if they met any of the following criteria: English as a second language, age >60 years, IQ <70, substance dependence within the past 6 months, substance abuse within 2 weeks of testing, head injury with skull fracture or substantial loss of consciousness (i.e. loss of consciousness >30 minutes), electroconvulsive therapy, amblyopia untreated before 18, epilepsy, stroke, or other neurological conditions. Additional exclusion criteria for HC were first-degree family history of major depressive disorder or a psychotic disorder (e.g. schizophrenia and/or bipolar disorder). PSZrel and PBDrel were excluded only if they had a medical condition that prevented participation.

Participants provided written informed consent before participating in the study. The study protocol was approved and monitored by the MVAHCS and the University of Minnesota Institutional Review Board and adhered to the Declaration of Helsinki. Participants were administered the Structured Clinical Interview for the DSM-IV-TR Axis-I Disorders-Patient Edition (SCID-I/P; First, Spitzer, Gibbon, & Williams, [2002\), Brief Psychiatric Rating Scale, 24-item \(BPRS;](#page-12-0) [Overall & Gorham, 1962\)](#page-13-0), Sensory Gating Inventory (SGI; [Hetrick, Erickson, & Smith, 2012\)](#page-12-0), and Wechsler Adult Intelligence Scale, Third Edition (WAIS-III; [Wechsler, 1997\)](#page-14-0). A minimum of two trained raters (advanced doctoral students in clinical psychology, postdoctoral researchers, or licensed psychologists) reached consensus on all diagnoses, based on the DSM-IV-TR criteria (American Psychiatric [Association, American Psychiatric Association Staff,](#page-11-0) American Psychiatric Association 2000). Additional participant and study information is detailed in [previous publications \(Longenecker, Pokorny, Kang,](#page-12-0) Olman, & Sponheim, 2021; Pokorny & Sponheim, 2021; [Pokorny et al., 2020\). Group demographics fo](#page-13-0)r participants meeting inclusion criteria are tabulated in [Table 1.](#page-3-0)

[Sponheim, & Olman, 2015;](#page-13-0) Yang, Tadin, Glasser, [Wook Hong, Blake, & Park, 2013\). Given the critic](#page-14-0)isms of reliability and validity of categorical Diagnostic and Statistical Manual of Mental Disorders (DSM) diagnoses and the shared features of schizophrenia and bipolar disorder (Kotov, Krueger, Watson, Achenbach, Althoff, Bagby, Brown, Carpenter, Caspi, Clark, Eaton, Forbes, Forbush, Goldberg, Hasin, Hyman, Ivanova, Lynam, Markon, Miller, Moffitt, Morey, Mullins-Sweatt, Ormel, Patrick, [Regier, Rescorla, Ruggero, Samuel, Sellborn, Simms,](#page-12-0) Skodol, Slade, South, Tackett, Waldman, Waszczuk, Widiger, Wright, & Zimmerman, 2017; Markon, [Chmielewski, & Miller, 2011\), understanding the](#page-12-0) degree to which visual processing impairments are reflective of categorical differences between disorders – as opposed to being reflective of a unified spectrum of psychotic experiences – may help clarify diagnostic and etiologic ambiguity. Furthermore, it is unclear whether such impairments are specific to the patient groups or extend to unaffected first-degree relatives [\(Greenwood, Shutes-David, & Tsuang, 2019;](#page-12-0) [Pokorny, Lano, Schallmo, Olman, & Sponheim, 2021;](#page-13-0) [Schallmo, Sponheim, & Olman, 2013\)](#page-13-0). Given the shared genetic predisposition among patients and their first-degree relatives, common visual processing impairments would suggest such impairments to be an underlying risk factor rather than simply a consequence of having the disorder. Thus, by including first-degree relatives, we hoped to be able to better characterize the causality of the relationship between psychotic psychopathology and visual processing deficits.

Based on previous work with a similar transdiagnostic [outpatient sample \(Schallmo, Sponheim, & Olman,](#page-13-0) 2015), we hypothesized that PSZ would exhibit weakened orientation insensitive untuned gain control and broadened tuning width of the orientation-dependent mechanisms relative to controls. Additionally, we hypothesized that people with bipolar disorder (PBD; relative of a patient with PSZ [PSZrel], and relative of a patient with bipolar disorder [PBDrel]) would exhibit intermediate gain control and orientation-dependent suppression deficits consistent with a spectrum of psychotic psychopathology that spans conventional diagnoses reflecting that these groups share some underlying etiology with PSZ yet experience less severe phenomenological and functional disturbances. Finally, we hypothesized that PSZ would exhibit weakened suppression for both near and far surrounds suggesting a combination of impaired V1-extrinsic and V1-intrinsic mechanisms. Thus, the goals of the study were to separately characterize (1) orientation-insensitive gain-control, (2) orientation-dependent suppression magnitude and tuning width, and (3) the differential functioning of these mechanisms for near and

Table 1. Demographic and symptom severity information.

 $*$ LogMAR: Normal is 0.0, better than normal is $<$ 0.

†Parental Education ranking: 1 is seventh grade or less, 7 is graduate or professional degree, the greater of the mother's or father's ranking is reported.

‡IQ was estimated using the Block Design and Vocabulary subtests of the Wechsler Adult Intelligence Scale, Third Edition.

§CPZ equivalent was computed only for the subset of participants on antipsychotics: 26 PSZ, 17 PBD, and 3 PSzrel.

Brief Psychiatric Rating Scale (BPRS) score ranges: Total, 24 to 168; Positive, 5 to 35; Negative, 3 to 21; and Disorganized, 4 to 28. ¶SGI total range of scores: 0 to 180.

For each measure, potential group differences were assessed by 1-way ANOVA. Any measure that showed a potential group difference (using a conservative threshold of $p < 0.1$) was then tested for a relationship to the visual behavioral task using Pearson's correlation against the parallel surround condition. The only measures that showed a correlation with surround suppression were visual acuity and estimated IQ (see Supplementary Figures S3, S5). For patients, medication (converted to chlorpromazine [CPZ] equivalent; Andreasen, Pressler, Nopoulos, [Miller, & Ho, 2010\) was also tested for association](#page-11-0) with performance on the surround suppression task, and no association was found $(r(41) = 0.16, p =$ 0.298).

All participants completed a contrast-matching task [\(Figure 1;](#page-4-0) task details in the legend) to assess the perceived contrast of a 1.5 degree-diameter circular grating patch presented at 3 degrees eccentricity. Before task administration, visual acuity was measured in the same room at 2 meters viewing distance (LIGHTHOUSE Distance Visual Acuity Test, Long Island City, NY). For the task, gratings were presented in three configurations: with no surround, with an adjacent surround (at 5 different relative orientations of surrounding gradings ranging from 0 degrees to 90 degrees), and with a far surround (also at 5 relative orientations). Visual stimuli were displayed on an NEC 17 inchCRT monitor (35.1 \times 26.7 cm, 1024 \times 768 pixels) viewed from 61 cm. The display was calibrated to produce a linear relationship between pixel intensity value (0–255) and luminance (mean luminance 102 cd/m^2). Stimuli were generated using PsychoPy (Peirce, 2007). Target stimuli were sinusoidally luminancemodulated gratings with a spatial frequency of 2 cycles/degree, masked by a circular aperture with 0.75 degrees radius, with edges defined by a raised cosine function. Luminance contrast of the target gratings was 80%. Targets were located at 3 degrees eccentricity, 16 degrees of polar angle below the horizontal

Figure 1. **Stimulus presentation paradigm and behavioral results.** (**A**) Near surround condition stimuli: the target (0.75 degrees radius grating with spatial frequency of 2 cycles/degrees) is separated by 0.25 degrees from a surrounding annulus with an outer radius of 2.0 degrees. All gratings appeared simultaneously and were present for 250 msec; relative orientation of target and surround was set to one of five values, but the orientation of the target grating was randomly selected on each trial. Participants had unlimited time to respond with a button press to indicate whether the circular grating on the left or right appeared to have higher contrast. (**B, C**) Each point represents the average contrast decrement applied to the reference grating to match the perceived contrast of the target grating. Panels **B** and **C** are the same except panel **B** depicts the patient and control groups whereas panel **C** depicts the first-degree relative and control groups. Points with error bars indicate contrast settings for a no-surround control condition. Error bars and shaded regions represent bootstrapped 95% confidence intervals. Asterisks indicate significant differences in post hoc t-tests (*: *p* < 0.05; **: *p* < 0.01); color of *asterisk* indicates group against which significant difference was measured. The same control group is presented in both plots as a reference.

meridian (so stimuli would have an asymmetric cortical representation to permit future electroencephalogram [EEG] data analysis, not presented here). Targets were surrounded by a black circle, 1 pixel wide, that was present throughout the experiment, to remove uncertainty about target location and to aid visual segmentation of targets from surrounds. In the near surround condition, the surround stimulus was also a sine-wave grating, 2 cpd and 80% contrast, masked by an annulus with inner radius of 1 degree (i.e. 0.25 degrees gap between target and surround) and an outer radius of 2 degrees. For the far surround condition, inner and outer radii were 2.5 degrees and 5.0 degrees, respectively. Because targets were centered at 3 degrees eccentricity, surround gratings were masked (hard edge) so they did not come within 0.5 degrees of the vertical meridian or cross into the other visual hemifield. A white fixation square subtending 0.2 degrees of visual angle was present throughout the experiment.

A single trial consisted of the simultaneous presentation of 3 elements for 250 msec: a reference circular grating with no surround, a target circular grating, and an annulus surrounding the target grating (either near or far, at 1 of 5 possible relative orientations). The target and reference gratings were presented at a randomly selected orientation (0 degrees, 45 degrees, 90 degrees, or 135 degrees) on each trial; the orientation of the target and reference was the same on a given trial. The orientation of the surround was controlled relative to the target surround. There was also a "no surround" condition in which both sides of the screen appeared identical (i.e. only the target and the reference elements appeared).

The contrast of the target stimulus was always 80% ; the contrast of the reference stimulus was adjusted to achieve a match in perceived contrast. The side on which the reference stimulus was presented was randomized, so the target (plus surround) occurred on both sides of the screen with equal probability. Participants responded with a two-button button box to indicate whether the circular patch on the left side or the right side of the screen appeared to have higher contrast.

For each condition, the contrast of the reference grating was controlled by a separate Psi staircase [\(Wichmann & Hill, 2001\)](#page-14-0) implemented in PsychoPy [\(Peirce, 2007;](#page-13-0) [Peirce, 2008;](#page-13-0) version 1.85.2) with the following parameters: alpha (threshold) range/precision [−40, 20]/1; beta (slope) range/precision [0.1, 5]/0.05; intensity (delta-contrast for reference) range/precision [−75, 15]/1; step type: linear; delta: 0.08 (lapse rate: 4%). Each staircase converged at a point of subjective equality between the reference grating (for which contrast was varied) and target (fixed contrast) grating.

Catch trials were also embedded in the task (8% of trials were catch trials). There were 48 catch trials, evenly divided between parallel and orthogonal surrounds and randomly assigned to the near or far condition. On a catch trial, the reference contrast was fixed at 30%. On these trials, participants should have always pressed the button that indicated that the non-reference side (target with surround) was of higher contrast. Performance on catch trials was used to assess participant engagement in the task and compliance with task instructions.

One experimental run contained 48 staircase trials for each condition. Conditions were not blocked; trials from different conditions were mixed together because the task never changed. Participants completed one experimental run each, providing a single estimate of perceived contrast for each of the 11 conditions (target with no surround, 5 near surround conditions, and 5 far surround conditions). The experimental run was paused four times so participants could rest their eyes and adjust their seat, verbally telling the experimenter when they were ready to continue.

Analysis

Data from each participant were analyzed if they met the following criteria: accuracy on catch trials was better than 75% and their behavior indicated that perceived contrast of the target with near surround at 0 degrees and 20 degrees relative orientation was reduced. This last criterion was in place to eliminate participants who could not selectively attend to the central targets and instead reported the overall (target plus surround) pattern. A total of 30 datasets were discarded because they did not meet these criteria (3 PSZ, 3 BPD, 1 HC, 1 PSZrel, and 0 PBDrel because of performance on catch trials and 9 PSZ, 2 PBD, 6 HC, 4 PSZrel, and 1 PBDrel for reporting high contrast in parallel conditions), leaving a total of 138 datasets for analysis (31 PSZ, 29 PBD, 29 HC, 28 PSZrel, and 21 PBDrel).

For each of the 11 conditions (no-surround, and 5 relative orientations for each of the near and far surround conditions), perceived contrast was calculated as the mean of the last 3 threshold estimates produced by the Psignifit staircase (Schütt, Harmeling, Macke, $\&$ Wichmann, 2016) for that condition (excluding catch trials). The adaptive staircase failed to converge for one individual from the PSZ group for the no-surround trials which resulted in an outlier threshold value greater than five standard deviations from the mean for that condition only. We excluded that individual from analyses in which the no-surround threshold values were dependent variables, but included their data for all other analyses.

Following the a priori hypothesis that near and far surround suppression are mediated by different neural mechanisms, separate repeated measures ANCOVAs (rmANCOVAs) were performed to assess main effects of group and three of the surround conditions (0 degrees, 90 degrees, and no-surround) and interaction between group and surround condition while controlling for visual acuity. Although we ultimately decided to include visual acuity as a covariate, such a decision is determined by theoretical perspective (e.g. "are visual impairments an integral component of schizophrenia or simply a confounding factor?"). Given the lack of certainty around this issue, we also report our main results without including acuity as a covariate [\(Table 2\)](#page-6-0). Additionally, the choice of including fewer conditions for the rmANCOVA was driven by the fact that as the number of levels of the within-subjects factor (i.e. number of conditions) increases, the power for detecting an effect decreases. Thus, choosing fewer levels that maximize within-subject differences is preferred for the rmANCOVA.

Bayes factors were computed (via anovaBF function in the BayesFactor R package; [Morey & Rouder, 2021\)](#page-13-0) to examine the strength of evidence for the null and alternative hypotheses to supplement classical null

Acuity as covariate?	Main effect of group	Main effect of condition	Group \times condition interaction
Yes	$F(4, 131) = 3.59, p = 0.01,$	$F(1.91, 249.6) = 164.51,$	$F(7.62, 249.6) = 1.83, p = 0.08,$
	$n^2 = 0.099$	$p < 0.001$, $\eta^2 = 0.557$	$\eta^2 = 0.053$
No	$F(4, 132) = 2.34, p = 0.06,$	$F(1.91, 252.32) = 159.85,$	$F(7.65, 252.32) = 1.84, p = 0.07$
	$n^2 = 0.066$	$p < 0.001$, $\eta^2 = 0.548$	$\eta^2 = 0.053$
Model-fitted parameter ANOVAs			
Acuity as covariate?	Group effect: M parameter	Group effect: o parameter	Group effect: w parameter
Yes	$F(4, 123) = 2.19, p = 0.07,$	$F(4, 123) = 4.95, p < 0.001,$	$F(4, 123) = 1.06, p = 0.38,$
	$\eta^2 = 0.066$	$\eta^2 = 0.139$	$\eta^2 = 0.033$
No.	$F(4, 124) = 3.23, p = 0.01,$	$F(4, 124) = 5.11, p < 0.001,$	$F(4, 124) = 1.01, p = 0.41,$
	$\eta^2 = 0.094$	$\eta^2 = 0.142$	$\eta^2 = 0.031$

RM-ANOVA: 0 and 90 degree relative angle between center and surround, and no surround conditions

Table 2. Main results with and without acuity as a covariate.

hypothesis tests. We computed these Bayes factors with the alternative model in the numerator and null model in the denominator and assessed the degree of group difference with acuity entered into the null model in the denominator. Although strict dichotomous decision boundaries are often anathema to Bayesian approaches, prior work tends to generally consider Bayes factors greater than 3 or less than ⅓ to be meaningful evidence in support of the null or alternative (depending on which hypothesis/model is in the numerator or denominator; [Hoijtink, Mulder, van Lissa, & Gu,](#page-12-0) 2019).

To more fully characterize the dependence of suppression on relative orientation for each individual, we fit each participant's contrast decrement data for the 0 degrees, 20 degrees, 45 degrees, 70 degrees, and 90 degrees conditions to an exponential function: $P = -Me^{-\theta/w} + o$. The three free parameters (M, w, and o) represent our dependent variables of interest: the offset parameter (o) represents orientation-insensitive (i.e. untuned) gain-control while the magnitude (M), and tuning width (w) parameters jointly characterize orientation-dependent suppression. Fitting was done in Python using scipy.optimize.curvefit nonlinear least squares fitting algorithm (Virtanen, Gommers, & [Oliphant, 2020\). Fits for individual participants w](#page-14-0)ere determined to be adequate if the variance of the data after subtracting the fit was lower than the variance of the raw data. By this criterion, only 9 of the 138 datasets were not well characterized by the exponential fit (1 PSZ, 3 BPD, 2 HC, 3 PSZrel, and 0 PBDrel). These nine subjects were excluded from all reported analyses in which the fit parameters were the dependent variable. Thus, it was concluded that the exponential function was an appropriate way of characterizing surround suppression behavior.

To generate hypotheses about the factors contributing to observed group differences in suppression of perceived target orientation as a function of surround orientation, a well-established

[divisive normalization model \(Reynolds & Heeger,](#page-13-0) 2009) was adapted to simulate behavior on this dataset: $R = A_c C_c / (A_c C_c + C_s e^{-\theta/w} + \sigma)$. The model equation and parameters used to generate the simulated suppression tuning curves are fully detailed in the discussion. In the original model, attention provides multiplicative enhancement of neuronal responses to stimuli: focal attention (A_c) enhances only the target response; distributed attention would enhance both target and surround responses. If this multiplicative modulatory term (A_c) is instead used to represent the more general concept of "amplification following segmentation," then either low acuity or broadly distributed attention (or a combination of the two) will result in reduced amplification of the center (lower *Ac* values) and therefore stronger divisive normalization (response suppression) by the surround. Further, widely reported deficits in cortical untuned gain control [associated with schizophrenia \(Butler, Silverstein, &](#page-12-0) Dakin, 2008; [Uhlhaas & Singer, 2010;](#page-14-0) Yoon, Maddock, [& Rokem, 2010\) can be simulated by decreasing the](#page-14-0) semi-saturation constant in the denominator (σ) . Quantitative fitting of the model to the data was not attempted; parameters were selected to illustrate how attention and suppression may interact to generate the patterns observed in the data.

Results

The near and far surround conditions are expected to invoke different neuronal mechanisms of suppression; however, the far surround condition produced no significant modulation of perceived contrast in the present study and is therefore reported only in the Supplementary Material (Figure S1). In the near-surround condition, all groups showed strong suppression of perceived contrast in the presence of a

Figure 2. **Estimation of influence of orientation on surround suppression.** Each participant's data in the near condition was fit to an exponential function describing the dependence of surround suppression on relative orientation: *^P* = −*Me*−^θ */w* ⁺ *^o*, where *^M* represents modulation magnitude, *w* characterizes tuning width, and *o* estimates orientation-insensitive suppression that is present even at 90 degrees relative orientation. Datasets for which the fit did not decrease variance are excluded from the group averages shown in this figure (3 PSZrel, 3 PBD, 1 PSZ, 2 HC, and 0 PBDrel). *Points* indicate mean fit parameters; error bars/shading indicate 95% bootstrapped confidence intervals. *Far right*: Average values of fit parameters describing the sensitivity to orientation (*M*), the rate of decay of suppression as a function of relative orientation (*w*), and minimum suppression (*o*). PSZ showed greater sensitivity to orientation (more negative *M*), weaker untuned gain control (less negative *o*), and – along with PSZrel – a tendency toward broader orientation tuning of suppression (higher *w*).

parallel surround and weaker suppression by misaligned surrounds (see [Figure 1\)](#page-4-0).

A repeated measures analysis of covariance was run on the three key near surround conditions (0 degrees, 90 degrees, and no-surround as a baseline), with Huynh-Feldt correction ($\varepsilon = 0.991$) and visual acuity as a covariate. This revealed significant main effects of group and condition ($F_{\text{group}}(4, 131) = 3.59, p = 0.01$, $\eta_{\text{I}}^2 = 0.099; \text{F}_{\text{condition}}(1.91, 249.6) = 164.51, p < 0.001,$ $\eta^2 = 0.557$; Supplementary Figure S2); however, the interaction of group and condition was not significant $(F(7.62, 249.6) = 1.83, p = 0.08, \eta^2 = 0.053)$. A post hoc Bayes factor (BF) was computed to assess the lack of group differences observed for the 0 degrees condition while controlling for acuity by including acuity in the null hypothesis model. The 0 degrees BF was 0.001 (i.e. lack of group difference was 1000 times more likely). For comparison, the 90 degrees BF was 102.6 in favor of group differences.

Figure 2 characterizes the fitted exponential function $(P = -Me^{-\theta/w} + o)$ results for each group. Group differences in the three fit parameters were assessed with 1-way ANCOVAs, using acuity as a covariate. Previous work suggests that PSZ have broader orientation tuning [\(Rokem et al., 2011;](#page-13-0) Schallmo, Sponheim, & Olman, [2013\), and PSZ and PSZrel did tend to have fits with](#page-13-0) larger *w* parameters (broader orientation tuning), but there was not a significant difference between groups (F(4, 123) = 1.06, $p = 0.38$, $\eta^2 = 0.033$). The *M* parameter showed a marginal effect of group that was not significant (F(4, 123) = 2.19, $p = 0.07$, η^2 = 0.066) when acuity was entered as a covariate, but was significant without acuity as a covariate (see [Table 2\)](#page-6-0). The untuned gain control *o* parameter exhibited the

strongest group effect $(F(4, 123) = 4.95, p < 0.001,$ $\eta^2 = 0.139$. Follow-up pairwise comparisons showed that PSZ exhibited less negative *o* parameters when compared both to HCs and to BPDrel (FDR corrected *p* values < 0.006 .

Although there were no significant group differences in measured acuity (see [Table 1\)](#page-3-0), acuity moderated the relationship between diagnostic group and M in a stepwise manner (F(4, 119) = 2.96, $p = 0.02$, η^2 $= 0.090$; [Baron & Kenny, 1986\)](#page-12-0). To illustrate this moderation effect, [Figure 3](#page-8-0) depicts each group split by LogMAR acuity at 0.1 (Snellen acuity 20/25). This visualization shows that group differences are exaggerated in participants with low acuity. For HCs, reduced acuity was associated with stronger suppression in all stimulus conditions, whereas in PSZ, reduced acuity was associated with reduced suppression by orthogonal surrounds.

Finally, we conducted exploratory correlational analyses to test whether untuned gain control as measured by the *o* parameter tracked meaningfully with individual differences in atypical sensory experiences using the SGI. To avoid the psychometric pitfalls of sum scores [\(McNeish & Wolf, 2020\)](#page-13-0), we conducted a four factor oblimin-rotated exploratory factor analysis (EFA) on the 36 item-level data and extracted Jos ten Berge factor score estimates using the psych R package [\(Revelle, 2021;](#page-13-0) [Ten Berge, Krijnen, & Wansbeek, 1999\)](#page-14-0). The four factor solution produced the most negative Bayesian Information Criterion (BIC; $BIC = -1534.58$) relative to the three and five factor solution suggesting the four factor solution best balanced model parsimony [and fit which is consistent with previous work \(Bailey,](#page-12-0) Moussa-Tooks, Klein, Sponheim, & Hetrick, 2021;

Figure 3. **Association between orientation-sensitivity of perceptual suppression and acuity.** *Left panel*: Across all participants, worse acuity (higher LogMAR scores) was associated with greater modulation (*M*) of suppression between parallel and orthogonal surround conditions. Right panels: to illustrate this effect, groups were split into sub-groups of participants with acuity at logMAR values of 0.1 or better (equivalent to Snellen acuity of 20/25) and participants with acuity measured less than LogMAR = 0.1 . Averages of individual exponential fits to suppression as a function of surround orientation are plotted here, as in [Figure 2,](#page-7-0) for controls and patient groups; for plots of average behavioral data, and data for relative groups, see Supplementary Figure S4.

[Hetrick, Erickson, & Smith, 2012;](#page-12-0) χ^2 (492) = 886.05, *p* < 0.001 , TLI = 0.86, CFI = 0.89, RMSEA = 0.08). Loadings greater than 0.3 for each factor are presented in Supplementary Table S1. Only the scores on the third factor correlated meaningfully with the offset parameter even before correction for multiple comparisons (r(122) $= 0.19$, uncorrected $p = 0.037$). This third factor loaded most heavily on over-inclusion items such as "I notice background noises more than other people" and "I seem to hear the smallest details of sound" although it also loaded onto a few perceptual-modulation items (e.g. "Sometimes I notice background noises more than usual") and fatigue/stress items (e.g. "When I'm tired sounds seem amplified"). For BPRS, five factors were extracted based on the most negative BIC value $(\chi^2(166) = 342.48, p < 0.001, TLI = 0.71, CFI = 0.83,$ $RMSEA = 0.09$. None of the factor score estimates for these factors correlated meaningfully with individual differences in the offset parameter.

Discussion

The reduced suppression evident across near surround conditions (as demonstrated by the group differences in the offset parameter, *o*) for PSZ is consistent with previous reports of weakened untuned [gain control associated with PSZ \(Butler, Silverstein, &](#page-12-0) Dakin, 2008; [Schallmo, Sponheim, & Olman, 2015\)](#page-13-0). PBD and PSZrel exhibited intermediate deficits in untuned gain control relative to controls and PSZ. These intermediate deficits may be indicative of a spectrum of psychotic psychopathology in which PSZ are at one end, HCs are at the opposite end, and PBD and PSZrel sit in the middle. Further evidence

for this perspective is the fact that PBD and PSZrel also exhibited intermediate levels of atypical sensory experiences (SGI) and general psychiatric symptoms (BPRS). Indeed, individual differences in over-inclusive perceptual experiences predicted weakened untuned gain control across participants; however, this was an exploratory association and the effect size of the relationship was small such that this observation needs to be replicated in an independent sample.

Evidence for atypical orientation-dependent suppression mechanisms in PSZ was mixed. The orientation-dependent parameters (i.e. *M* and *w)* were not significantly different between groups and we did not observe a significant interaction between group and condition. Having said this, post hoc Bayes factors provided strong evidence of a lack of difference between groups for the parallel-surround condition and strong evidence of group differences in the orthogonal condition. The preservation of suppression in the parallel-surround condition (i.e. lack of group difference) is surprising and unique to the specific configuration we used. Although we are unaware of this effect being observed previously in the context of surround suppression, analogous findings have been reported in the context of perceptual grouping tasks in which PSZ performed similar to controls when [grouping cues were strongest \(Silverstein, Burten,](#page-14-0) Essex, Kovács, Susmaras, & Little, 2009; Uhlhaas & [Silverstein, 2005\). Thus, it is possible that PSZ and](#page-14-0) PBD's normative suppression for parallel-surrounds reflects a floor effect in which all groups are able to adequately process visual context when contrast is matched and center and surround orientations are aligned. This effect may have been more salient due to the matching of contrasts between center and surround, as opposed to previously published studies in which center and surround contrasts differed [\(Barch, Carter,](#page-12-0)

[Dakin, Gold, Luck, Macdonald, Ragland, Silverstein,](#page-12-0) & Strauss, 2012; [Dakin, Carlin, & Hemsley, 2005\)](#page-12-0).

The dependence of contrast perception on relative orientation was moderated by acuity: surround suppression differences between patients and controls were all but eliminated when participants with lower acuity (Snellen acuity worse than 20/25) were excluded from analysis. It is noteworthy that approximately half of each of our experimental groups (patients and controls alike) had vision that was not corrected to normal (20/20) during the experiment. Our measurement of acuity was a simple Snellen eye chart at the 2-meter viewing distance that would be used for the task, with participants using any prescription lenses they had brought with them. It is possible that the relatively poor acuity across all groups was a consequence of the visual working distance being a poor match for the correction a given participant was using. To further complicate the matter, we did not observe significant differences in acuity between HC and PSZ as has been reported previously (however, see [Pokorny et al., 2021\)](#page-13-0). This may suggest that our convenience sample of HCs happened to have unusually poor acuity relative to the general population.

If there had been no effect of acuity in our dataset, the pattern of results shown in the full group averages (see [Figures 1,](#page-4-0) [2\)](#page-7-0) might have been explained by a difficulty of the PSZ group to deploy spatial attention. Although perceptual suppressive mechanisms are generally reduced for patients with schizophrenia, the same patients also experience a unique difficulty in allocating visual spatial attention or controlling attention [\(Barch, Carter, & Dakin, 2012\)](#page-12-0). Focal spatial attention is known to reduce surround [suppression \(](#page-13-0)[Flevaris & Murray, 2015](#page-12-0)[;](#page-13-0) Poltoratski, [Ling, McCormack, & Tong, 2017;](#page-13-0) Schallmo, Grant, Burton, & Olman, 2016; [Zenger, Braun, & Koch, 2000\)](#page-14-0). Thus, when scene segmentation cues are not strong (e.g. the parallel-surround condition, when surround and center have the same contrast), an elevation of suppression due to impairment of focal spatial attention for patients with schizophrenia could mask or counterbalance the generally observed surround suppression deficit. In other words, if impaired spatial attention had the greatest effect for stimuli with the weakest segmentation cues (in our experiment, the parallel surround), then deficits in untuned gain control for patients would emerge as relative orientation increased, and we would see the pattern shown in [Figures 1](#page-4-0) and [2:](#page-7-0) stronger modulation by orientation in patients than in controls. Previous experiments may not have detected this effect because the lower contrast of the central target relative to the surround provided a consistent, strong segmentation cue to help capture the spatial attention of all participants. Further exploration of this effect with a sample of patients with more

severe symptomatology and cognitive impairment, and a rigorous assessment of spatial attention will be important for corroborating this spatial attention hypothesis.

Reduced acuity, on the other hand, could affect task performance by altering an individual's access to segmentation cues and thereby increasing the strength of suppression (because the V1-extrinsic mechanisms that rescue neuronal responses from suppression; [Self, Peters, & Possel, 2016;](#page-13-0) would be absent when boundaries are not detected). A thin black ring, always present on the screen, not only delineated the region where a participant could expect to see the target in this experiment but also formed an explicit (although subtle) boundary between the target and the surround. With poor acuity, this ring would be less visible and might even (along with the small gray gap) blend into the target and surround, removing an explicit segmentation cue and resulting in stronger suppression [\(Lamme, Rodriguez-Rodriguez, & Spekreijse, 1999\)](#page-12-0). This prediction matches the pattern observed for control participants: participants with low acuity showed stronger suppression of perceived contrast, compared to participants with high acuity, for all stimulus conditions. Thus, it is possible that removing this thin black ring would have led to more similar levels of suppression across levels of visual acuity in controls. However, doing so would remove the fiducial mark for the target location, increasing the spatial uncertainty and likely making it more difficult to allocate spatial attention.

Stronger perceptual suppression due to poorer acuity (which we hypothesize reduces segmentation between center and surround) does not fully account for the observed relationship observed between acuity and suppression across all participants: whereas low-acuity HCs demonstrated greater suppression at all orientations, low-acuity PSZ showed reduced suppression for orthogonal surrounds resulting in greater sensitivity to orientation. Thus, to explain the full pattern of data, we would need to posit that (1) all participants experience increased suppression when acuity reduces segmentation cues, and (2) of the PSZ group, only individuals with low acuity experience reduced untuned gain control (orientation-insensitive inhibition). [Figure 4](#page-10-0) presents a computational model that simulates the measured pattern of responses in patients and controls.

Further work is necessary to determine the neural mechanisms underpinning this finding that acuity moderates the relationship between clinical group and suppression. The importance of acuity and retinal health in predicting schizophrenia has received [increased attention recently, and rightly so \(Hayes,](#page-12-0) Picot, Osborn, Lewis, Dalman, & Lundin, 2019; [Silverstein, Fradkin, & Demmin, 2020;](#page-14-0) and references therein). Low acuity could have several causes, ranging

Figure 4. **Segmentation and untuned gain control can interact to determine orientation dependence of surround suppression.** Possible effects of attention and untuned gain control were simulated using a model styled after [Reynolds and Heeger, 2009:](#page-13-0) $R = A_c$ C_c **/**($A_c C_c + C_s e^{-\theta/w} + \sigma$) where *R* represents the normalized response to the central target (which predicts perceived contrast), A_c represents amplification of the center relative to the surround, either by focal spatial attention or improved scene segmentation (possibly due to high acuity), C_c represents the average neural drive from the central target, C_s represents the drive from the surrounding stimulus, and σ is an additive constant that reflects nonspecific inhibition or untuned gain control. We modulate C_5 by an exponential term to reflect exponential dependence (*w*) of surround suppression on the relative orientation (θ) of the center and surround. For all simulations, C_C and C_S were held constant (0.8) to represent the equivalent contrast of the center and surround stimuli, and *w* was also fixed because the data did not provide strong evidence for group differences in the orientation tuning width of surround suppression. *Left panel*: Measured behavioral data (same data as in Supplementary Figure S4). *Right panel*: The *black line* simulates a baseline condition with good use of segmentation cues or focal attention and relatively strong cortical untuned gain control ($A_C = 2.0$, $\sigma = 0.4$). The *gray line* simulates broadly distributed attention or low acuity ($A_C = 1.0$), which results in a weakly orientation-dependent increase in the modeled strength of suppression compared to suppression during focal attention (*black line*). The *faint red line* simulates reduction of the semi-saturation constant ($\sigma = 0.1$), to simulate changes in untuned gain control associated with schizophrenia, which causes a reduction in suppression at all relative orientations (again with some orientation dependence because the relative magnitudes of C_5 and σ depends on the surround orientation). The *dark red line* shows that a combination of these two factors – orientation-dependent amplification of suppression by broadly distributed attention or poor acuity and reduction of baseline suppression by a reduced semi-saturation constant – produces the pattern observed in the behavioral data from PSZ with low acuity.

from inadequate optical correction (a non-neuronal source) to retinal aberrations (i.e. altered function of the lateral inhibition that sharpens boundaries) to a reduction of the cortical suppressive mechanisms necessary for accurate delineation of object boundaries. We cannot, with the current dataset, distinguish among optical, retinal, or cortical sources for measured acuity in individuals nor address questions of whether acuity or retinal health is predictive of disease state in patients, although there are several known connections [\(Asanad, O'Neill, & Addis, 2021;](#page-12-0) Adams & Nasrallah, 2018; [Moghimi, Torres Jimenez, & McLoon, 2020\).](#page-11-0) Understanding the contributions of visual acuity to perceptual contrast surround suppression was not one of the original aims of the study, so the experimental protocol was not designed to ensure optimal correction or measure retinal health. Future studies with those controls in place will be necessary to pursue an understanding of the relationship between visual acuity and surround suppression in patients with psychosis.

Although other researchers have found group differences in acuity with evidence that the difference is neural in origin (Zemon, Herrera, Gordon, Revheim, [Silipo, & Butler, 2021\), we did not observe overall grou](#page-14-0)p differences in acuity in the current study. Our patient groups were outpatients with average IQ suggesting relatively normative levels of cognitive functioning. This too may have led to reductions in the magnitude of perceptual differences between controls and patients, given that links between higher IQ and stronger surround suppression have been reported among [healthy adults \(Arranz-Paraíso & Serrano-Pedraza,](#page-11-0) 2018; [Cook, Hammett, & Larsson, 2016;](#page-12-0) Melnick, [Harrison, Park, Bennetto, & Tadin, 2013;](#page-13-0) Troche, [Thomas, Tadin, & Rammsayer, 2018\). Further](#page-14-0) investigation in samples drawn from patients with greater cognitive impairment and greater functional deficits would be informative with respect to how illness severity impacts low-level visual deficits.

Within the framework of the normalization model discussed above, the intermediate performance of

PSZrel could arise from a decrease in the semisaturation term (σ) in the denominator used to regulate suppression (see red line in [Figure 3A](#page-8-0)). In a recent study of contour integration, we found that contour detection performance of relatives was particularly robust against suppression by flanking context [\(Pokorny et al., 2021\)](#page-13-0), to the point that their performance was superior to a control group. These findings together suggest that surround suppression might be subtly reduced by genetic liability for schizophrenia. However, a limitation of the current study is that the exclusion criteria for first degree relatives was less strict than for other groups due to the rare and valuable nature of the population. Thus, it is possible that differential recruitment for these groups introduced sampling bias.

Although the estimated orientation tuning of surround suppression was not significantly different between groups, a nonsignificant effect was observed that is consistent with previous studies reporting wider orientation tuning of suppressive mechanisms in PSZ [\(Rokem et al., 2011;](#page-13-0) Schallmo, Sponheim, & [Olman, 2013\). Broader orientation tuning associated](#page-13-0) with schizophrenia could arise either from weakened inhibitory mechanisms that refine orientation tuning of [individual neurons in primary visual cortex \(Ringach,](#page-13-0) Sapiro, & Shapley, 1997; Ringach, Shapley, & Hawken, [2002\) or from broader tuning in higher-level grouping](#page-13-0) mechanisms [\(Shushruth et al., 2013\)](#page-14-0). V1-intrinsic and V1-extrinsic mechanisms do not work independently: a less refined V1 representation of orientation could result in a greater likelihood of grouping visual features in extrastriate cortex (Schwartz, Sejnowski, & Dayan, [2009\), which in turn would result in a higher likelihood](#page-13-0) of suppression. Difficulty allocating spatial attention could readily be either caused or confounded by broader tuning of suppressive mechanisms. Additional studies exploring the physiological basis of these behavioral effects will be necessary to tease apart the separate contributions of acuity, attention, and orientation tuning in early cortical visual networks.

The present work aimed to distinguish between possible neural mechanisms of impaired surround suppression in psychotic psychopathology. We found strong group differences in untuned (i.e. orientationindependent) suppression mechanisms while evidence for group differences in orientation-dependent suppression mechanisms was mixed. Unexpectedly, the association between group and orientation-dependent suppression was moderated by acuity. Given recent findings of surround suppression deficits in a variety of psychological disorders including schizophrenia, [bipolar disorder, autism and depression \(Salmela,](#page-13-0) Socada, & Söderholm, 2021; Schallmo, Sponheim, & Olman, 2015; [Schallmo, Kolodny, & Kale, 2020\), this](#page-13-0) work highlights the importance of understanding the causes of individual differences in visual acuity and how this relates to psychopathology.

Keywords: schizophrenia, visual acuity, psychophysics, suppression, biological relatives, bipolar disorder

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